

# Table of Contents Section 1

<b>1.1 Submission of State Plan</b> .....	<b>3</b>
<b>A.1 Designation of Authority</b> .....	<b>4</b>
Single State Agency .....	4
Attorney General Legal Authority .....	4
Intergovernmental Cooperation Act of 1986 (ICA) Waiver .....	5
Conducting Fair Hearings, Office of Administrative Hearings .....	5
Determination of Eligibility .....	7
Administration of SSI Program .....	8
<b>A.2 State Plan Administration, Organization and Administration</b> .....	<b>8</b>
Structure of the State's Executive Branch .....	10
Agencies Determining Eligibility other than the Medicaid Agency (DHR) .....	10
Single State Agency Title IV-A .....	10
Entity Determining Eligibility – Maryland Health Benefit Exchange .....	10
<b>A.3 State Plan Administration Assurances</b> .....	<b>12</b>
42 CFR 431.10, 42 CFR 431.12, 42 CFR 431.50 .....	12
Statewide Basis for State Plan .....	12
Medical Care Advisory Committee .....	12
<b>1.4 State Medical Care Advisory Committee</b> .....	<b>13</b>
Tribal Consultation Requirement .....	13
Urban Indian Organizations .....	13
<b>1.5 Pediatric Immunization Program</b> .....	<b>15</b>
<b>Attachments to Section 1</b> .....	<b>17</b>
ATTACHMENT 1.1A Attorney General's Certification .....	17
ATTACHMENT 1.2A Executive Branch Organizational Chart .....	18
<i>Maryland Department of Health</i> .....	19
<i>Maryland Department of Health Organizational Chart</i> .....	20
<i>Health Care Financing Organizational Chart</i> .....	21

# Table of Contents Section 2 - Attch. 2.2A

<b>2. Section 2 – Coverage and Eligibility</b> .....	<b>3</b>
2.1 Application, Determination of Eligibility and Furnishing Medicaid .....	3
(a) Agency Requirements .....	3
(b)(3) Presumptive Eligibility for Pregnant Women .....	4
(c) Risk Contract with HMO (MCO) .....	4
(d) Procedures for accepting applications .....	5
(e)(1-2) Express Lane Option .....	6
(e)(4) Income Eligibility - Maryland taxpayer filing .....	7
(e)(5)(c) CHIP Screen and Enrollment .....	8
2.2 Coverage and Conditions of Eligibility .....	9
2.3 Residence .....	10
2.4 Blindness .....	11
2.5 Disability .....	12
2.6 Financial Eligibility .....	13
2.7 Medicaid Furnished Out of State .....	14
<b>Attachments 2.1, 2.1B, 2.2A, and Supplements</b> .....	<b>15</b>
ATTACHMENT 2.1-A .....	15
Organization's Primary Purpose .....	15
Accessibility to Services .....	17
Risk of Insolvency .....	18
ATTACHMENT 2.1-B Managed Care Organization .....	17
Organization's Primary Purpose .....	17
Accessibility to Services .....	17
Risk of Insolvency .....	18
ATTACHMENT 2.2-A .....	19
A. Mandatory Coverage - Categorically Needy and Other Required Special Groups .....	20
A.11.a. Pregnant women and infants under 1 year .....	21
A(12) Deemed Newborns: (42 CFR 435.117, 1902(e)(4) of the Act) .....	22
A(13) Aged, Blind, and Disabled Individuals Receiving Cash Assistance .....	22
A(14) Qualified severely impaired blind and disabled individuals under age 65 .....	24
A(15) SII, blind or disabled .....	27
A(18) December 1973 were eligible as an essential spouse .....	28
A(19) Institutionalized individuals .....	29
A(21) SSI/SSP Eligible .....	30
A(22) OASDI and SSI/SSP .....	31
A(23) Disabled Widows or Widowers .....	32
A(24) Disabled Widows or Widowers who are divorced .....	33
A(25) Qualified Medicare Beneficiaries .....	34
A(26) Qualified Disabled and Working Individuals .....	34
A(27) Specified Low Income Medicare Beneficiaries .....	35
A(28) SSI benefits, monthly .....	36
A(29) Qualifying Individuals .....	36
B. Optional Groups Other Than the Medically Needy .....	37
B(4) Provision of HCBS - eligibility .....	39
B(5) Hospice .....	40
B(10) SSI criteria with agreements under sections 1616 and 1634 of the Act. ....	41
B(11) SSI criteria - supplementary payments .....	43

B(12) Institutionalized more than 30 days .....	46
B(13) Certain disabled children .....	47
B(17) Pregnant women .....	
B(18) Cost Effective Employer-based group health plan .....	49
B(19) COBRA .....	49
B(20) Reasonable Classification .....	49
B(23) Breast or Cervical Cancer .....	51
B(23) BBA Work Incentives .....	52
B(24) TWWIIA Basic Coverage Group .....	52
C. Optional Coverage of the Medically Needy .....	53
C(1) Pregnant women .....	53
C(2) Pregnancy-related and postpartum services .....	53
C(3) Individuals under age 18 .....	53
C(5) Financially eligible individuals who are not described in section C.3 .....	54
C(6 - 9) Caretaker relative and ABD .....	56
C(11) Blind and disabled individuals who: .....	56
C(12) Required to enroll in cost effective employer-based group .....	57
1915(i) HCBS State Plan categorically needy eligibility group who are eligible for HCBS .....	58
(b) Individuals who are eligible for home and community-based services under a waiver .....	59
S10 Modified Adjusted Gross Income (MAGI) Conversion Plan .....	62
S11 Reasonable Classification of Children .....	145
S14 AFDC Standards .....	74
S21 Presumptive Eligibility by Hospitals .....	80
S25 Eligibility Groups - Mandatory Coverage Parents / Caretaker Relatives .....	83
S28 Eligibility Groups - Mandatory Coverage - Pregnant Women .....	86
S30 Eligibility Groups - Mandatory Coverage - Infants, under Age 19 .....	90
S32 Eligibility Groups - Mandatory Coverage - Adult Group .....	95
S33 Eligibility Groups - Mandatory Coverage - Former Foster Care Children .....	98
S50 Eligibility Groups - Options for Coverage - INDV. above 133% FPL .....	142
S51 Eligibility Groups - Options for Coverage - Parents /Caretaker Relatives .....	143
S52 Eligibility Groups - Options for Coverage - Reasonable Classification .....	144
S53 Eligibility Groups - Options for Coverage - Non IV-E Adoptive Asst. ....	147
S54 Eligibility Groups - Options for Coverage - Targeted Low Income Children .....	149
S55 Eligibility Groups - Options for Coverage - INDV. with Tuberculosis .....	152
S57 Eligibility Groups - Options for Coverage - Foster Care Adolescents .....	153
S59 Eligibility Groups - Options for Coverage - Family Planning Services .....	155
Supplements .....	156
SUP 3 Atch. 2.2-A Cost Effectiveness - Caring for Disabled Children at Home .....	156

# Table of Contents Section 2.6A

<b>ATTACHMENT 2.6A General Conditions of Eligibility</b> .....	<b>4</b>
2(a) Categorically needy .....	4
2(5)(a) Not an inmate of a public institution .....	5
2(5)(b) Not a patient under age 65 in an institutionfor mental diseases .....	5
2(6) Assignment of rights to a third party .....	5
2(7) Required to furnish SSN .....	6
2(11) Required to apply for coverage under Medicare Part A .....	7
<b>B. Post Eligibility Treatment of Institutionalized Individuals' Incomes</b> .....	<b>8</b>
2(a) Personal Needs Allowance .....	9
2(b) AFDC related .....	9
2(c) Personal Needs Allowance Under 21 years .....	10
3(a) Community spouse monthly allowance .....	10
3(a)(i) Other dependent family allowance .....	11
3(b) Health care expenses .....	11
4(a) Institutionalized maintenance needs .....	12
4(b) Health care expenses described that have not been deducted under 3.c .....	12
5(a) Maintenance of the home .....	12
5(c) Financial eligibility SSI recipients .....	14
<b>Eligibility Conditions and Requirements</b> .....	<b>15</b>
1.Methods of Determining Income .....	15
(a)(1) <i>AFDC-related individuals</i> .....	15
(b) <i>Aged individuals</i> .....	16
(c) <i>Blind individuals</i> .....	18
(d) <i>Disabled individuals</i> .....	19
(f) <i>Qualified Medicare beneficiaries</i> .....	21
(g)(1) <i>Qualified disabled and working individuals</i> .....	22
(g)(2) <i>Specified low-income Medicare beneficiaries.</i> .....	22
(h) <i>COBRA Continuation Beneficiaries</i> .....	23
(i) <i>Working Individuals With Disabilities -BBA</i> .....	24
(ii) <i>Working Individuals with Disabilities -Basic Coverage Group- TWWIIA</i> .....	25
<i>Income Methodologies</i> .....	26
<i>Resource Methodologies</i> .....	27
<i>More liberalthan those used by the SSI program.</i> .....	28
<i>Payment of Premiums or Other Cost Sharing Charges- BBA eligibility group</i> .....	34
<i>Individuals whose annual adjusted gross income, exceeds \$75,000</i> .....	35
<i>Four income tiers for EmployedIndividuals with Disabilities</i> .....	36
(ii) <i>Working Individuals with Disabilities -Basic Coverage Group- TWWIIA</i> .....	25
<i>Income Methodology- SSI - More Liberal</i> .....	26
<i>Resource Methodologies - disregard of retirement accounts</i> .....	27
(ii) <i>Working Individuals with Disabilities -Employed Medically Improved IndividualsTWWIIA</i> .....	29
<i>Income Methodologies</i> .....	30
<i>Resource Methodologies</i> .....	31
<i>Definition of Employed - Employed MedicallyImproved Individuals- TWWIIA</i> .....	33
<i>Payment of Premiums or Other Cost Sharing Charges</i> .....	34
<i>premiums on a sliding scale based on income</i> .....	35
<i>Premiums and Other Cost-Sharing Charges</i> .....	36
4. Spend-down - handling of Excess Income .....	37

a. <i>Medically Needy</i> .....	37
b. <i>Categorically Needy</i> .....	39
5. Methods for Determining Resources .....	41
(a) <i>AFDC-related individ. (except for poverty level related pregnant women, infants and children)</i> .....	41
(b) <i>Aged individuals</i> .....	42
(c) <i>Blind individuals</i> .....	43
(d) <i>Disabled individuals</i> .....	44
(h) <i>Qualified Medicare beneficiaries</i> .....	45
(i) <i>qualified disabled and working individuals</i> .....	45
(j) <i>COBRA continuation beneficiaries</i> .....	45
6. Resource Standard - Categorically Needy .....	46
(a) <i>ABD</i> .....	46
(e) <i>Aged and Disabled</i> .....	47
(f) <i>Institutionalized Spouses</i> .....	47
7. Resource Standard- Medically Needy .....	48
8. Resource Standard Qualified Medicare Beneficiaries .....	48
9. Qualified Disabled and Working Individuals .....	49
9(1) <i>COBRA continuation beneficiaries</i> .....	49
10. Excess Resources .....	50
(a) <i>Categorically Needy, Qualified Medicare</i> .....	50
(b) <i>Categorically needy</i> .....	50
11. Effective Date of Eligibility .....	51
(a)(1) <i>Prospective Period</i> .....	51
(a)(2) <i>Retroactive Period</i> .....	51
(b) <i>Qualified Medicare beneficiaries</i> .....	52
12. Pre-OBRA 93 Transfer of Resources .....	53
13. Transfer of Assets -All eligibility groups .....	53
14. Treatment of Trusts - All eligibility groups .....	53
15. Institutionalized for at least 30 consecutive days .....	54
S10 MAGI-Based Income Methodologies .....	55
<i>Modified Adjusted Gross Income (MAGI) Conversion Plan</i> .....	56
<i>Std. Methodology with State Data Method and Alt. Method</i> .....	58
S14 AFDC Income Methodology .....	69
S21 Presumptive Eligibility by Hospitals .....	75
S25 Eligibility Groups - Mandatory Coverage - Parents & Caretaker Relatives .....	78
S28 Eligibility Groups - Mandatory Coverage - Pregnant Women .....	81
S30 Eligibility Groups - Mandatory Coverage - Infants & Children, Age 19 .....	85
S32 Eligibility Groups - Mandatory Coverage - Adult Group .....	90
S33 Eligibility Groups- Mandatory Coverage - Former Foster Care Children .....	93
S50 Eligibility Groups - Options for Coverage - Individuals above 133% FPL .....	96
S51 Eligibility Groups - Options for Coverage: Parents & Caretaker Relatives .....	97
S52 Eligibility Groups - Options for Coverage - Reasonable Classification .....	98
S53 Eligibility Groups - Options for Coverage - Non IV-E Adoption .....	101
S54 Eligibility Groups - Options for Coverage -TCM - Children .....	103
S55 Eligibility Groups - Options for Coverage - Tuberculosis .....	106
S57 Eligibility Groups - Options for Coverage - Foster Care Adolescents .....	107
S59 Eligibility Groups - Options for Coverage - Family Planning Services .....	109
S88 Non-Financial Eligibility - State Residency .....	110
S89 Non-Financial Eligibility - Citizenship and Non-Citizen Eligibility .....	114
<b>Supplements to Attachment 2.6A</b> .....	<b>117</b>
SUP 1 Attch. 2.6-A - Income Eligibility Levels - Categorically Needy .....	117

SUP 2 Attch. 2.6-A -Aged and Disabled - Resource levels .....	123
SUP 3 Attch. 2.6-A - Reasonable limits - necessary medical or remedial care not covered by Medicaid .....	125
SUP 4 Attch. 2.6-A - Income differing from those for the SSI Program .....	126
SUP 5 Attch. 2.6-A - More restrictive methods of treating resources than those of SSI Program .....	127
SUP 5a Attch. 2.6-A - More liberal methods under 1902 (r)(2) instead of FPL .....	128
SUP 6 Attch. 2.6-A - Standards for Optional State Supplementary Payments .....	129
SUP 7 Attch. 2.6-A - Income levels: Categorically Needy: More restrictive than SSI .....	130
SUP 8 Attch. 2.6-A - Resource Standards - Categorically Needy .....	131
SUP 8(a) Attch. 2.6-A - More liberal methods of treating income .....	132
SUP 8(b) Attch. 2.6-A - Less restrictive methods: Resources under section 1902 of the ACT .....	136
SUP 8(c) Attch. 2.6-A - State Long-term care insurance partnership .....	139
SUP 9 Attch. 2.6-A - Transfer of Resources .....	141
SUP 9(a) Attch.2.6-A - Transfer of Assets .....	148
SUP 9(b) Attch. 2.6-A - Transfer of Assets for less than fair market value on or After 2/06 .....	153
SUP 10 Attch. 2.6-A Asset Transfers before and after 2/06 (1st SUP 10) .....	159
SUP 11 Attch. 2.6-A - Cost Effectiveness Methodology for Cobra continuation beneficiaries .....	160
SUP 12 Attch. 2.6-A - Evaluation of Jointly Held Resources .....	161
SUP 13 Attch. 2.6-A - Eligibility Under Section 1931 of the Act .....	164
SUP 16 Attch. 2.6-A - Asset Verification System .....	165
SUP 17 Attch.2.6-A - Disqualification for Long-term care Assistance: Substantial Home Equity .....	168
SUP 18 Attch. 2.6-A - Identification of Applicable FMAP Rates .....	169

# Table of Contents

## Table of Contents

<b>3. Section 3 – Services: General Provision</b> .....	<b>6</b>
3.1 Amount, Duration, and Scope of Service .....	7
(a)(1) <i>Categorically needy</i> .....	7
(iv) <i>Medical Conditions that may Complicate a Pregnancy</i> .....	7
<i>PACE: 1905(a)(26) and 1934</i> .....	9
(a)(2) <i>Medically needy</i> .....	10
(iv) <i>Other Medical Condition that may Complicate Pregnancy</i> .....	11
(vii) <i>Insitution for Mental Diseases over age 65</i> .....	11
(viii) <i>Intermediate Care Facility for the Mentally Retarded</i> .....	11
(a)(3) <i>Other Required Special Groups: Qualified Medicare Beneficiaries</i> .....	14
(a)(4) <i>Other Required Special Groups: Qualified Disabled and Working Individuals</i> .....	14
(ii) <i>Specified Low-Income Medicare Beneficiaries</i> .....	14
(iii) <i>Qualifying Individuals</i> .....	14
(a)(5) <i>Other Required Special Groups: Families Receiving Extended Medical Benefits</i> .....	15
(a)(6) <i>Limited Coverage for Certain Aliens</i> .....	16
(a)(7) <i>Homeless Individuals</i> .....	16
(a)(9) <i>EPSDT Services</i> .....	16
(a)(10) <i>Comparability of Services</i> .....	17
(b)(2) <i>Home Health Service Provision to Categorically Needy under 21 years</i> .....	18
(b)(3) <i>Home Health Service Provision to Medically Needy</i> .....	18
(c)(1) <i>Assurance of Transportation</i> .....	19
(c)(2) <i>Payment for Nursing Facility Services</i> .....	19
(d) <i>Methods and Standards to Assure Quality of Services</i> .....	20
(e) <i>Family Planning Services</i> .....	21
(f)(1) <i>Optometric Services</i> .....	22
(f)(2) <i>Organ Transplant Procedures</i> .....	22
(g) <i>Participation by Indian Health Service Facilities</i> .....	23
(h) <i>Respiratory Care for Ventilator-Dependent Services</i> .....	23
(i) <i>Community Supported Living Arrangements Services</i> .....	24
3.2 Coordination of Medicaid with Medicare and Other Insurance .....	25
(a)(1)(i) <i>Qualified Medicare Beneficiary (QMB): Buy-in</i> .....	25
(ii) <i>Qualified disabled and Working Individuals (QDWI)</i> .....	26
(iii) <i>Specified Low-Income Medicare Beneficiary (SLMB)</i> .....	26
(iv) <i>Qualifying Individual-1 (QI-1)</i> .....	26
(v) <i>Other Medicaid Recipients</i> .....	27
(b)(1) <i>Deductibles/Coinsurance</i> .....	28
(b)(1)(i) <i>Qualified Medicare Beneficiaries (QMBS)</i> .....	28
(iii) <i>Dual Eligible--QMB plus</i> .....	28
(c) <i>Premiums, Deductibles, Coinsurance and Other Cost Sharing Obligations</i> .....	29
3.3 Medicaid for Individuals Age 65 or Over in Institutions for Mental Disease .....	30
3.4 Special Requirements Applicable to Sterilization Procedures .....	31
3.5 Families Receiving Extended Medical Benefits .....	32
<b>Attachment 3.1-A</b> .....	<b>36</b>
CATEGORICALLY NEEDED .....	36
1. <i>Inpatient hospital services with limitations</i> .....	36
2. <i>Outpatient hospital services</i> .....	36

2.b. Rural health clinic .....	36
2.c. Federally qualified health center .....	36
3. Other laboratory and x-ray services .....	36
4.a. Nursing facility services .....	37
4.b. Early and periodic screen for individuals under 21 .....	37
4.c. Family Planning services .....	37
5.a. Physicians' services .....	37
5.b. Medical and surgical services .....	37
6. Medical care and any other type of remedial care .....	38
6.a. Podiatrists' services .....	38
6.b. Optometrists' services .....	38
6.c. Chiropractors' services .....	38
7. Home health services .....	38
7.a. Intermittent or part-time nursing services .....	38
7.b. Home health aide services .....	38
7.c. Medical supplies, equipment, and appliances .....	38
7.d. Physical or occupational therapy, speech pathology, audiology services .....	39
8. Private duty nursing services .....	39
9. Clinic services .....	40
10. Dental services .....	40
11. Physical therapy and related services .....	40
11.a. Physical therapy .....	40
11.b. Occupational therapy .....	40
11.c. Speech pathologist or audiologist .....	40
12. Prescribed drugs, dentures, prosthetic devices, and eyeglasses .....	41
12.a. Prescribed drugs .....	41
12.b. Dentures .....	41
12.c. Prosthetic devices .....	41
12.d. Eyeglasses .....	41
13. Diagnostic services .....	41
13.b. Screening services .....	42
13.c. Preventive services .....	42
13.d. Rehabilitative services .....	42
14. Services for individuals age 65 or older in institutions for mental diseases .....	42
14.a. Inpatient hospital services .....	42
14.b. Nursing facility services .....	42
ICF Services for the Intellectually Disabled .....	43
16. Inpatient psychiatric facility services for individuals under 21 years of age .....	43
17. Nurse-midwife services .....	43
18. Hospice care .....	43
19. Case management services .....	44
20. Extended services for pregnant women .....	44
20.a. Pregnancy-related and postpartum services, 60-days post-partum .....	44
20.b. Services for medical conditions that my complicate pregnancy .....	44
20.c. Services related to pregnancy, prenatal, delivery, postpartum, family planning .....	44
21. Presumptive Eligibility Ambulatory prenatal care for pregnant women .....	45
22. Respiratory care services .....	45
23. Any other medical care recognized under State law .....	45
23.a. Transportation .....	45
23.b. Services of Christian Science nurses .....	45
23.c. Care and services provided in Christian Science sanatoria .....	46
23.d. Nursing facility services for patients under 21 years of age .....	46
23.e. Emergency hospital services .....	46



23.f. Personal care services in recipient's home .....	46
23.g. Nurse Anesthetist services .....	46
23.h. Certified pediatric or family nurse practitioners' services .....	46
26. PACE services .....	47
28. Freestanding Birth Center Services (FBCS) .....	48
28.i. Licensed or Otherwise State-Approved Freestanding Birth Centers .....	48
28.ii. Licensed or State-Recognized covered professionals - services at FBC .....	48
Enhanced Services for Pregnant and Postpartum Recipients .....	49
post partum family planning services .....	49
Inpatient Services .....	53
2.A. Outpatient Services .....	54
2.a.1. Provider-Based Outpatient Oncology Facilities .....	55
2.B. Rural Health Center Services .....	56
2.C Federally Qualified Health Center Services .....	57
3.A. Radiology Services .....	58
3.B. Laboratory Services .....	59
4.A. Nursing facility services .....	60
4.B. EPSDT, under 21 years .....	61
Healthy Kids Program Practitioner .....	61
Vision services .....	62
Dental .....	63
Audiology .....	63
Medical day care .....	64
SUD and Alcohol treatment .....	65
School Health-Related Services .....	73
Private Duty Nursing Services .....	74
Home Health .....	74
Limitations .....	75
Other Licensed Practitioners (RN, LPN, CNA, HHA, CMT) .....	76
Durable Medical Equipment .....	78
Intermediate Care Facility .....	78
Out of state facility .....	78
4.C Family Planning Services .....	79
4.D Tobacco Cessation counseling services .....	81
Tobacco Cessation Counseling Service - pregnant women .....	81
4.D.1 Face-to-Face Tobacco Cessation Counseling Services .....	81
i. By or under supervision of a physician .....	81
ii. By any other health care professional .....	81
5. Physicians' services .....	82
Limitations .....	82
Preauthorization: .....	84
Inpatient Services .....	86
6. Medical care .....	87
6.a. Podiatrists' Services .....	87
6.b. Optometrists services .....	88
6.c. Chiropractors' services .....	91
6.d. Nutrition Therapy Program .....	92
6.e. Advanced Practice Nurse Services .....	93
6.f. Pharmacist prescriber services .....	95
6.g. Licensed Mental Health Practitioners .....	96
6.i. Licensed Behavior Analyst Services .....	103
7. Home Health Services .....	104
Medical Supplies and Equipment .....	

7.a. Hearing Aids .....	109
8. Private Duty Nursing .....	111
9.A Clinic Services .....	114
9.c. Ambulatory Services .....	117
Free-standing Urgent Care Centers .....	120
10. Dental Services .....	121
11. Physical Therapy and services .....	127
11.a. Physical Therapy .....	127
11.b. Occupational Therapy .....	129
11.c. Audiology Services .....	132
12.A. Prescribed Drugs .....	137
Covered excluded drugs .....	139
(c) Agents when used for the symptomatic relief cough and colds .....	139
(d) Prescription vitamins and mineral products .....	139
(e) Over the Counter medications .....	139
Rebates .....	141
12.B Dentures .....	142
12.C Prosthetic Devices .....	143
12.D Eyeglasses .....	145
12.C Environmental Lead Investigations .....	149
13. Other diagnostic, screening, preventive services .....	154
a. Doula Services .....	150
b. Home Visiting Services .....	152
d. Rehabilitation Services .....	154
Mobile Treatment Services (MTS) .....	154
Medication Therapy Management (MTM) .....	163
Psychiatric Rehabilitation Programs .....	166
d.5 Adult medical day care services .....	172
Community-based Substance Use Disorders .....	174
Community Violence Prevention Services .....	189
Therapeutic Behavioral Services .....	191
Behavioral Health Crisis Services .....	199
f. Administration of COVID-19 Vaccinations .....	162
14. Services for individuals age 65 or older in institutions for mental diseases .....	202
15. ICF Services for the intellectually disabled .....	203
16. Inpatient Psychiatric Services for Individuals under Age 21 in Psychiatric Facilities .....	204
18. Hospice Care Services .....	207
20. Pregnancy-related for 60 days after pregnancy ends .....	208
23. Any other medical care type of remedial care recognized by the Secretary .....	209
23.A Transportation .....	209
23.a.1. Emergency Service Transporters .....	209
23.a.2. IDEA .....	210
23.f Personal Assistant services in the Home or Community .....	212
23.H Nurse Practitioner Services .....	214
26. PACE services .....	218
30. Coverage of Routine Patient Cost in Qualifying Clinical Trials .....	219
<b>Supplements to Attachment 3.1-A .....</b>	<b>221</b>
SUP 1 to Attch. 3.1A - Case Management Services .....	221
SUP 1-A to Attch. 3.1A - HIV-Infected Individuals .....	226
SUP 3 to Attch. 3.1A - Targeted Case Management for Indvd. with Serious Mental Health Disorders .....	241
Individuals transitioning to a community setting .....	241
Comprehensive Assessment and Periodic Reassessment .....	242

<i>Development (and Periodic Revision) of a Specific Care Plan</i>	242
<i>Referral and Related Activities</i>	243
<i>Monitoring and Follow-up Activities:</i>	244
<i>Qualifications of providers</i>	245
<i>Mental health case management</i>	246
<i>Freedom of choice</i>	248
<i>Freedom of Choice Exception</i>	248
<i>Access to Services</i>	249
<i>Limitations</i>	249
<i>Care Coordination for Children and Youth</i>	251
<i>Individuals transitioning to a community setting</i>	252
<i>Definition of services</i>	253
<i>Initial assessment</i>	253
<i>Care coordinator</i>	253
<i>Coordination and facilitation of the team</i>	253
<i>Development (and Periodic Revision) of a Specified Plan of Care</i>	253
<i>Requirements of the Plan of Care</i>	254
<i>Referral and Related Activities</i>	256
<i>Monitoring and Follow-up Activities</i>	256
<i>Care Coordination Organization</i>	257
<i>Qualifications of providers</i>	257
<i>Peer Support Partners</i>	258
<i>Initial Assessment completed in 10 days</i>	258
<i>Electronic Health Record</i>	258
<i>Plan of Care</i>	258
<i>Care Coordination Organization qualifications</i>	259
<i>PACE State Plan Amendment</i>	265
<i>Eligibility</i>	265
<i>Rate-setting Methodology</i>	271
SUP 6 to Attch. 3.1A - Early Intervention Services Case Management	272
SUP 7 to Attch. 3.1A - Targeted Case Management: On DDA Waiting List	279
<i>Transitioning to the Community</i>	
<i>Community Coordination Services</i>	
SUP 10 to Attch. 3.1A - Case Mang. Services - Service Coordination for Children with Disabilities	304
Enclosure 31 - 1915(j) Self-Directed Personal Assistance Services Pre-Print	319
<i>Amount, Duration and Scope of Services</i>	319
<i>Election of Self-Directed Services</i>	321
<i>Supplement 11 to Attachment 3.1A and 3.1B</i>	323

# Table of Contents Attch. 3.1B -3.2A

<b>Attachment 3.1B</b> .....	<b>6</b>
Amount, Duration, and Scope of Services Provided Medically Needy Groups(s) .....	6
1. <i>Inpatient hospital services</i> .....	7
2.a. <i>Outpatient hospital services</i> .....	7
2.b. <i>Rural health clinic services</i> .....	7
2.c. <i>Federally qualified health center (FQHC) services</i> .....	7
3. <i>Other laboratory and X-ray services</i> .....	7
4.a. <i>Nursing facility services</i> .....	7
4.b. <i>Early periodic screening, diagnostic and treatment services for individuals under 21 years of age</i> .....	7
4.c. <i>Family planning services and supplies for individuals of childbearing age</i> .....	7
5.a. <i>Physicians' services</i> .....	8
5.b. <i>Medical and surgical services furnished by a dentist</i> .....	8
6. <i>Medical care and any other type of remedial care recognized under State law</i> .....	9
a. <i>Podiatrists' Services</i> .....	9
b. <i>Optometrists' Services</i> .....	9
c. <i>Chiropractors' Services</i> .....	9
d. <i>Other Practitioner's Services</i> .....	9
7. <i>Home Health Services</i> .....	9
a. <i>Intermittent or part-time nursing services</i> .....	9
b. <i>Home health aide services provided by a home health agency</i> .....	9
c. <i>Medical supplies, equipment, and appliances</i> .....	9
d. <i>Therapy related services</i> .....	9
e. <i>Newborn early discharge assessment visit</i> .....	9
8. <i>Private duty nursing services.</i>	
9. <i>Clinic services.</i> .....	10
10. <i>Dental services.</i> .....	10
11. <i>Physical therapy and related services</i> .....	10
a. <i>Physical therapy</i> .....	10
c. <i>Speech pathologist or audiologist.</i> .....	10
12. <i>Prescribed drugs, dentures, prosthetic, iand eyeglasses</i> .....	10
a. <i>Prescribed drugs</i> .....	10
b. <i>Dentures</i> .....	10
c. <i>Prosthetic devices</i> .....	11
d. <i>Eyeglasses</i> .....	11
13. <i>Other diagnostic, screening, preventive, and rehabilitative services, i.e., other than those provided elsewhere in the plan</i> .....	11
a. <i>Diagnostic services</i> .....	11
d. <i>Rehabilitative services</i> .....	11
14. <i>Services for individuals age 65 or older in institutions for mental diseases</i> .....	11
a. <i>Inpatient hospital services</i> .....	11
b. <i>Skilled nursing facility services</i> .....	11
c. <i>Intermediate care facility services</i> .....	12
15. a. <i>Intermediate care facility services</i> .....	12
16. <i>Inpatient psychiatric facility services for individuals under 21 years of age</i> .....	12
17. <i>Nurse-midwife services</i> .....	12
18. <i>Hospice care</i> .....	12
19 <i>Case management services</i> .....	13

20. Extended services for pregnant women .....	13
21. Nurse practitioners' services .....	13
22. Respiratory care services .....	14
23. Any other medical care .....	14
a. Transportation .....	14
d. Skilled nursing facility services for patients 21 years of age .....	14
e. Emergency hospital services .....	14
f. Personal care services .....	14
g. Nurse Anesthetist services .....	15
<b>Attachment 3.1 D</b> .....	<b>17</b>
Non-Emergency Medical Transportation .....	17
<b>Attachment 3.1 F</b> .....	<b>20</b>
Health Home State Plan Amendment .....	20
Submission - Tribal Input .....	26
Population Criteria and Enrollment .....	29
Health Homes services .....	30
Opt-In to Health Homes provider .....	31
Types of Health Homes Providers .....	32
<i>Designated Providers</i> .....	32
<i>Other providers that have been determined by the State</i> .....	33
<i>Supports for Health Homes Providers</i> .....	36
Comprehensive Care Management .....	40
<i>Provider types</i> .....	40
<i>Behavioral Health Professionals or Specialists</i> .....	40
<i>Nurse Care Coordinators</i> .....	40
<i>Nurses</i> .....	41
<i>Physicians</i> .....	41
<i>Physicians' Assistants</i> .....	41
<i>Social Workers</i> .....	41
<i>Health Home director</i> .....	42
Care Coordination .....	42
<i>Provider types</i> .....	43
<i>Behavioral Health Professionals or Specialists</i> .....	43
<i>Nurse Care Coordinators</i> .....	43
<i>Nurses</i> .....	43
<i>Physicians</i> .....	43
<i>Physicians' Assistants</i> .....	43
<i>Social Workers</i> .....	44
<i>Administrative Support Staff</i> .....	44
Health Promotion .....	45
<i>Provider types</i> .....	45
<i>Behavioral Health Professionals or Specialists</i> .....	45
<i>Nurse Care Coordinators</i> .....	45
<i>Nurses</i> .....	45
<i>Physicians</i> .....	46
<i>Physicians' Assistants</i> .....	46
<i>Social Workers</i> .....	46
CN individuals .....	47
<i>Provider types</i> .....	48
<i>Behavioral Health Professionals or Specialists</i> .....	48
<i>Nurse Care Coordinators</i> .....	48
<i>Nurses</i> .....	48

Physicians .....	48
Physicians' Assistants .....	48
Social Workers .....	48
Health Home Directors .....	49
Individual and family support .....	49
Provider types .....	50
Behavioral Health Professionals or Specialists .....	50
Nurse Care Coordinators .....	50
Nurses .....	50
Physicians .....	50
Physicians' Assistants .....	50
Social Workers .....	51
Referral to community and social support services .....	52
Provider types .....	52
Behavioral Health Professionals or Specialists .....	52
Nurse Care Coordinators .....	52
Nurses .....	52
Physicians .....	53
Physicians' Assistants .....	53
Social Workers .....	53
Health Home Director .....	54
Monitoring .....	55
Quality Measurement .....	56
Evaluations .....	56
<b>Attachment 3.1 G .....</b>	<b>66</b>
General Eligibility Requirements .....	66
Compliance w/ 42 CFR 435, Subpart J .....	66
Compliance w/ 1413(b)(l)(A) of the Affordable Care Act .....	66
Compliance w/ 1413(b)(1)(B) of the Affordable Care Act .....	66
MAGI standard .....	66
Redetermination Processing .....	67
Coordination of Eligibility and Enrollment .....	67
Supplement to Attachment 3.1G .....	198
<b>Attachment 3.1 H .....</b>	<b>69</b>
Application for Hospital Presumptive Eligibility .....	69
Supplement to Attachment 3.1H .....	264
<b>Attachment 3.1 I .....</b>	<b>70</b>
1915(i) State plan Home and Community-Based Services .....	70
1. Services .....	70
2. SMA Line of Authority for Operating the State plan HCBS Benefit .....	70
3. Distribution of State plan HCBS Operational and Administrative Functions .....	71
4. Conflict of Interest Standards .....	73
5. Fair Hearings and Appeals .....	73
6. No FFP for Room and Board .....	73
7. Non-duplication of services .....	73
Evaluation/Reevaluation of Eligibility .....	75
Person-Centered Planning & Service Delivery .....	82
Services .....	86
Intensive In-Home Services .....	86
Mobile Crisis Response Services .....	90
Community-Based Respite Care .....	92

<i>Out-of-Home Respite</i> .....	94
<i>Family Peer Support</i> .....	97
<i>Expressive and Experiential Behavioral Services</i> .....	100
<i>Customized Goods and Services</i> .....	101
Participant-Direction of Services .....	108
<i>Limited Implementation of Participant-Direction</i> .....	108
<i>Participant-Directed Person-Centered Service Plan</i> .....	109
<i>Opportunities for Participant-Direction</i> .....	110
Quality Improvement Strategy .....	112
<b>Attachment 3.1 K</b> .....	<b>118</b>
Community First Choice State Plan Option .....	118
<i>i. Eligibility</i> .....	118
<i>ii. Service Delivery Models</i> .....	119
<i>iii. Service Package</i> .....	120
<i>iv. Use of Direct Cash Payments</i> .....	127
<i>v. Assurances</i> .....	127
<i>vi. Assessment and Service Plan</i> .....	129
<i>vii. Home and Community-based Settings</i> .....	132
<i>viii. Qualifications of Providers of CFC Services</i> .....	133
<i>ix. Quality Assurance and Improvement Plan</i> .....	135
<b>Attachment 3.1 L</b> .....	<b>142</b>
Alternative Benefit Plan .....	143
<i>State Plan Adult Benefit</i>	
<i>Alternative Benefit Plan Cost Sharing</i>	
Essential Health Benefit 1: Ambulatory patient services .....	148
<i>Clinic Services</i>	
<i>Nursing &amp; Aide Services</i>	
<i>Personal Care Services</i>	
<i>Community First Choice</i>	
<i>Federally-Qualified Health Services</i>	
<i>Hospice Care - in home /ambulatory setting</i>	
<i>Abortions- Hyde Compliant</i>	
Essential Health Benefit 2: Emergency services .....	152
<i>Outpatient Hospital: Emergency Hospital Services</i>	
<i>Any Other Medical Care: Em. Transportation</i>	
Essential Health Benefit 3: Hospitalization .....	153
<i>Hospice Care - Inpatient Setting</i>	
<i>Physician Services - Inpatient</i>	
Essential Health Benefit 4: Maternity and newborn care .....	155
<i>Inpatient Hospital Care- Maternity and Newborn</i>	
<i>Physician Services - Maternity and Newborn</i>	
<i>Clinic Services - Maternity and Newborn</i>	
<i>Services furnished by Nurse Midwife</i>	
Essential Health Benefit 5: Mental health and substance use disorder services .....	157
<i>Mental Health/Subs</i>	
<i>Clinic Services - Mental Health</i>	
<i>Medical Care Furnished by Licensed Practitioners</i>	
<i>Inpatient Hospital Services- MHI SUD</i>	
Essential Health Benefit 6: Prescription drugs .....	160
Essential Health Benefit 7: Rehabilitative and habilitative services and devices .....	161
<i>Physical Therapy and Related Services- Rehab.</i>	
<i>Home Health Services - DME/DMS</i>	

<i>Nursing Facility Services: Rehabilitation Services</i>	
<i>Habilitation Services - Physical Therapy and Other</i>	
Essential Health Benefit 8: Laboratory services .....	164
<i>Other Laboratory and X-Ray Services</i>	
Essential Health Benefit 9: Preventive and wellness services and chronic disease management .....	165
<i>Physician Services</i>	
<i>Medical Care by Other Licensed Practitioners</i>	
<i>Home Health Care Services - DME/DMS - Diabetes</i>	
Essential Health Benefit 10: Pediatric services including oral and vision care .....	167
Base Benchmark Benefits: Not covered due to substitution .....	169
Other Base Benchmark Benefits Not Covered .....	179
Other 1937 Covered Benefits that are not Essential Health Benefits .....	180
<i>Medical Care by Other Licensed Pract. - Podiatrist</i> .....	180
<i>Family Planning Services and Supplies</i> .....	180
<i>Counseling and Pharm. For Cessation of Tobacco</i> .....	180
<i>Health Homes</i> .....	181
<i>Non-Emergency Transportation</i> .....	181
<i>Optometrist Services</i> .....	181
<i>Mobile Treatment</i> .....	182
<i>Psychiatric Rehabilitation Program - Not in IMD</i> .....	182
<i>Outpatient Mental Health Clinic Serv, - Not in IMD</i> .....	182
<i>Nursing Home Custodial Care</i> .....	183
<i>Other Services Extended to Pregnant Women</i> .....	183
<i>Community-Based Substance Abuse Services</i> .....	184
<i>Program of All-Inclusive Care for the Elderly</i> .....	184
<i>Rural Health Center Services</i> .....	184
<i>Intermediate Care Facilities - Intellectually Dis.</i> .....	185
<i>Case Management - Mental Illness</i> .....	185
<i>Case Management- HIV</i> .....	185
<i>Case Management- Developmental Disabilities</i> .....	186
<i>Free Standing Birth Center Services</i> .....	186
Service Delivery Systems .....	191
<i>Managed Care Organizations</i> .....	191
<i>Benefits Assurances</i> .....	189
<i>Fee-For-Service</i> .....	191
Employer Sponsored Insurance and Payment of Premiums .....	194
General Assurances .....	195
Payment Methodology .....	196
<b>Attachment 3.2A</b> .....	<b>197</b>



# Table of Contents

<b>Section 4 - General Program Administration</b> .....	<b>6</b>
4.1 Methods of Administration .....	6
4.2 Hearings for Applicants and Recipients .....	7
4.3 Safeguarding Information on Applicants and recipients .....	8
4.4 Medicaid quality Control (MMIS) .....	9
4.5 Medicaid Agency Fraud Detection and Investigation Program .....	10
4.6 Reports .....	11
4.7 Maintenance of Records .....	12
4.8 Availability of Agency Program Manuals .....	13
4.9 Reporting Provider Payments to IRS .....	14
4.10 Free Choice of Providers .....	15
4.11 Relations with Standard-Setting and Survey Agencies .....	16
(a) <i>Establishing the Office of Health Care Quality</i> .....	16
(b) <i>OHCQ responsible for establishing and maintaining standards</i> .....	16
(d) <i>State Department of Health and Mental Hygiene Office of Health Care Quality</i> .....	17
4.12 Consultation to Medical Facilities .....	18
(a) <i>to hospitals, SNFs, Home Health, clinics, and laboratories</i> .....	18
(b) <i>Facilities providing services under 42 CFR 431.105(b)</i> .....	18
4.13 Required Provider Agreement .....	19
(e) <i>Provide Education, Complete Documentation, and Maintain Advanced Directives</i> .....	20
1(a) <i>Rights to make choices, and create Advance Directives</i> .....	20
2(a–d) <i>Provision of info: admission to Hosp., SNFs, Home Health, Personal Care, Hospice</i> .....	21
2(e) <i>At time of enrollment in Health Maintenance Organization</i> .....	21
4.14 Utilization Control .....	22
(a) <i>Contract with Utilization and Quality Control peer Review Organization</i> .....	22
(b) <i>Inpatient Hospital Services</i> .....	23
<i>Utilization Review of Hospital, Long Term Care, and Community Services</i> .....	24
(c) <i>Control of Utilization of Inpatient Services in Mental Hospitals</i> .....	25
(d) <i>Control of Utilization of Skilled Nursing Facilities</i> .....	26
(e) <i>Control of Utilization in intermediate care facility services</i> .....	27
(f) <i>Control of Utilization in each Health Maintenance Organization</i> .....	28
4.15 Inspection of Care ICFs, Inpatient Psychiatric Services, and Mental Hospitals .....	29
4.16 Relations with State Health and Vocational Rehabilitation and Title V Grantees .....	30
4.17 Liens and Adjustments or Recoveries .....	31
(a) <i>Liens</i> .....	31
(b) <i>Adjustments or Recoveries</i> .....	32
(1) <i>permanently institutionalized individuals</i> .....	32
(3) <i>medical assistance at age 55 or older</i> .....	32
(i) <i>Medical assistance for Medicare cost Sharing</i> .....	33
(ii) <i>Asset disregard - Attachment 2.6-A</i> .....	34
(c) <i>Adjustments or Recoveries: Limitations</i> .....	35
(d) <i>ATTACHMENT 4.17-description of contents</i> .....	36
(1) <i>Method of assessing: individual cannot reasonably discharged to home</i> .....	36
(2) <i>Son or Daughter has been providing care</i> .....	36
(3) <i>Definitions of key terms</i> .....	36
(4) <i>Waiving Estate Recovery</i> .....	37
(5) <i>When Adjustment / Recovery is not cost-effective</i> .....	37

(6) Collection Procedures .....	37
4.18 Recipient Cost Sharing and Similar Charges .....	38
(b)(2)(i) No Deductible, coinsurance, copayment, or similar charge (Under Age 21) .....	38
(b)(3)(ii) Charges for services furnished apply to individuals 21 years or older .....	40
(b)(3)(iii) Attachment 4.18-A for categorically needy and qualified Medicare beneficiaries .....	40
(A) Service(s) for which charge applied .....	40
(B) Nature of charges imposed .....	40
(C) Amounts of and basis for determining charges .....	40
(D) Methods used to collect charges .....	41
(E) Inability to pay - Proof of inability to pay .....	41
(F) Implementing and Enforcing Exclusions from Cost sharing .....	41
(G) Cumulative maximum .....	41
(c) Medically Needy .....	43
(2)(i) No deduction, coninsurance, copayment or similar charge under age 21 .....	43
(3)(ii) Charges apply to services furnished to individuals 21 or older .....	45
3(iii) Medically Needy and Other optional groups .....	46
(A) Service(s) for which charge applied .....	46
(B) Nature of charges imposed .....	46
(C) Amounts of and basis for determining charges .....	46
(D) Methods used to collect charges .....	46
(E) Inability to pay - Proof of inability to pay .....	47
(F) Implementing and Enforcing Exclusions from Cost sharing .....	47
(G) Cumulative maximum .....	47
4.19 Payment for Services- Inpatient Hospital Services .....	48
(a) Attachment 4.19-A Methods and standards for determining rates for payment .....	48
Inappropriate level of care days .....	48
Rates set by the Maryland Health Services Cost Review Commission .....	48
(b) FQHCs .....	49
rural health clinics .....	49
(c) Reserving a bed during temporary absence from an inpatient facility .....	50
(d) Payments to SNFs and ICFs .....	51
(2) No SNF payments to swing-bed hospital .....	51
(3) No payment for ICF services to a swing-bed hospital .....	51
(e) Timely payment of all claims .....	52
(f) Providers must meet Medicaid qualifications to participate .....	53
(g) Appropriate audit of records .....	54
(h) Documentation and availability of payments .....	55
(i) Payments are sufficient so that accessibility same as for general pop. ....	56
(j) Public Notice of Changes .....	57
(k) Emergency MA for alien not lawfully admitted for permanent residence .....	57
(m) Reimbursement for Vaccines under the Pediatric Immunization Program .....	58
(ii) Regional maximum payment rate established by the DHHS Secretary .....	58
(iii) access to immunizations is assured .....	58
4. 20 Direct Payments to Certain Recipients for Physicians' or Dentists Services .....	59
4.21 Prohibition Against Reassignment of Provider Claims .....	60
4.22 Third Party Liability .....	61
(b) ATTACHMENT 4.22-A (Description of Contents) .....	61
(1) (1) Frequency of Data exchange diagnosis and trauma codes .....	61
(3) Follow up on information obtained through state motor vehicle accident report .....	61
(4) Paid claims identified – highest third party collections .....	61
(c) Providers are required to bill liable 3rd parties .....	62
(d) ATTACHMENT 4.22-B .....	62
(1) Provider compliance with 3rd party billing .....	62

(2) Threshold for seeking recovery .....	62
(3) Method for determining when to seek recovery .....	62
(f) Cooperative Agreements for enforcement of Rights to and collection of 3rd party benefits .....	63
(g) Laws relating to medical child support under section 1908 .....	63
(h) Cost effectiveness of employer-based group health plan .....	63
4.23 Use of Contracts .....	64
4.24 Standards for Payment to NFs and ICFs for Scvs for the Mentally Retarded .....	65
4.25 Program for Licensing Administrators of Nursing Homes .....	66
4.26 Drug Utilization Review Program .....	67
(a)(1) Outpatient drug claims .....	67
(a)(2) Outpatient drugs meet the following criteria .....	67
(b) Reduction of Fraud .....	67
(c) Assess data use against predetermined standards .....	67
(d) Retrospective DUR for SNFs .....	68
(e)(1) Prospective review of drug therapy .....	68
(e)(2) Prospective DUR includes screening .....	68
(e)(3) Includes counseling .....	68
(f)(1) Retrospective DUR .....	68
(f)(2) Assesses drug use against explicit predetermined standards .....	69
(f)(3) DUR is run through State DUR Board .....	69
(g)(1) DUR Board is under contract with a private firm .....	69
(g)(2) DUR Board membership includes Health professionals .....	69
(g)(3) Activities of DUR Board .....	69
(g)(4) Interventions include .....	70
(h) Annual DUR report to the Secretary .....	70
(i)(1) Point-of-sale electronic claims management system .....	70
(i)(2) Prospective DUR will use electronic POS .....	70
(j) Hospital dispensation of outpatient drugs .....	70
(k) DUR Program claim review limitations .....	71
4.27 Disclosure of Survey Information and Provider Contractor Evaluation .....	72
4.28 Appeals Process for SNF and Intermediate Care Facility .....	73
4.29 Conflict of Interest Provisions .....	74
4.30 Exclusion of Providers and Suspension of Practitioners and Other Individuals .....	75
(a) Broader sanctions .....	75
(b)(1) Exclusion from participation .....	76
(2)(b) No payment / participation for a determined period .....	77
(c)(1) Prompt notification to HCFA .....	77
(c)(2) Access to information regarding sanctioned providers .....	77
4.31 Disclosure of Information by Providers and Fiscal Agents .....	78
4.32 Income and Eligibility Verification System .....	78
4.33 Medicaid Eligibility Cards for Homeless Individuals .....	79
4.34 Systematic Alien Verification for Entitlements .....	80
4.35 Remedies for SNF/ICF that Do Not Meet Requirements .....	81
(a) Fulfillment of 1919 (h) (2) (A) through (D) .....	81
(b) Remedies used by the State .....	81
(d) Incentive programs .....	81
Enforcement of Compliance for Nursing Facilities .....	82
(a) Enforcement action .....	82
(i) Notice for penalties (excluding civil monetary) .....	82
(ii) Civil Monetary penalty notifications .....	82
(iii) Immediate Jeopardy notifications and all others .....	82
(iv) Notification of Termination .....	82

(b) Factors to be Considered in Selecting Remedies .....	82
c) Application of Remedies .....	83
(i) Immediate jeopardy .....	83
(ii) Denial of payment for new admissions - non compliance .....	83
(iii) Denial of payment - substandard care 3 surveys .....	83
(d) Available Remedies .....	83
4.36 Required Coordination Between the Medicaid and WIC Programs .....	85
4.37 Reimbursements for Prescribed Drugs .....	86
4.41 Resident Assessment for Nursing Facilities .....	87
(a) Specified instrument for assessing SNF / NF residents .....	87
(b) Transmittal #241 of State Operations Manual .....	87
4.42 Employee Education About False Claims Recoveries .....	88
4.46 Provider Provider Screening and Enrollment .....	93
Provider Screening .....	93
Enrollment and Screening of Providers .....	93
Verification of Provider Licenses .....	93
Revalidation of Enrollment .....	93
Termination or Denial of Enrollment .....	93
Reactivation of Provider Enrollment .....	93
Appeal Rights .....	94
Site Visits .....	94
Criminal Background Checks .....	94
Federal Database Checks .....	94
National Provider Identifier .....	94
Screening Levels for Medicaid Providers .....	94
Application Fee .....	94
Temp Moratorium: of New Providers / Suppliers .....	94
4.50 Medicaid Recovery Audit Contractor Program .....	91
Exception from Recovery audit contractors (RACs) .....	91
FFS Interim Payments During Change Healthcare Cybersecurity Incident .....	95

# Table of Contents

## Table of Contents

<b>Attachment 4.14A Reserved for Future Use</b> .....	<b>7</b>
<b>Attachment 4.14C Reserved for Future Use</b> .....	<b>8</b>
<b>Attachment 4.16-A Coop. Agreement: MDH, MSDE, Vocational Rehabilitation</b> .....	<b>9</b>
2. The Division will refer to Dept. of Social Services to apply for benefits .....	9
3. The Division will refer clients to Medicaid service providers .....	10
4. The Division will encourage clients under Age 21 to see preventative Medicaid services .....	10
5. Medicaid will pay established rates .....	10
7. Medicaid will keep the Division apprised of services available .....	10
8. The Division will maintain confidentiality .....	10
9. Parties will identify a Liaison .....	10
10. Liaison Responsibilities .....	11
11. Agreement effective as of Aug. 1979, to be renewed annually .....	11
12. Termination with 60 days of notice to other parties .....	11
<b>Attachment 4.16B Coop. Agreement: Medicaid, MCH, Family Planning, WIC</b> .....	<b>12</b>
Preface and definitions of parties .....	12
I. Administration and Policy .....	14
II. Reimbursement & Contract Monitoring .....	15
III. Data Exchange .....	17
31. <i>Data for annual federal grant applications, and federal or State required reporting</i> .....	17
32. <i>Data related to ongoing program operations</i> .....	17
33. <i>Data for utilization studies to assess impact of Dent-Care Loan Assistance</i> .....	18
IV. Outreach and Referral Activities .....	18
A. <i>All MCH Populations</i> .....	18
B. <i>Primary Preventive Care for Children and Oral Health</i> .....	18
C. <i>Children With Special Health Care Needs</i> .....	19
D. <i>Pregnant Women and Infants</i> .....	19
E. <i>Family Planning</i> .....	19
F. <i>WIC</i> .....	20
V. Training and Technical Assistance .....	20
A. <i>All MCH Programs</i> .....	20
B. <i>Primary Preventive Pediatric Medical and Dental Providers</i> .....	21
C. <i>Specialty Providers - Children with Special Health Care Needs</i> .....	21
D. <i>Well Women, Prenatal and Family Planning Providers</i> .....	21
E. <i>WIC Staff and Providers</i> .....	21
VI. Provider Capacity .....	22
A. <i>All MCH Providers</i> .....	22
B. <i>Primary Preventive Pediatric Medical and Dental Health Providers</i> .....	22
C. <i>Specialty Providers</i> .....	22
D. <i>Obstetrical and Perinatal Providers</i> .....	22
E. <i>Family Planning Providers</i> .....	23
F. <i>WIC Services</i> .....	23
VII. Systems Coordination .....	23
A. <i>All MCH Programs</i> .....	23
B. <i>Primary Preventive Care and Oral Health</i> .....	23
C. <i>Children with Special Health Care Needs</i> .....	23

D. Pregnant Women and Infants .....	24
E. Family Planning .....	24
F. WIC .....	24
VIII. Quality Assurance Activities .....	24
A. All MCH Populations .....	24
B. Primary Preventive Care for Children and Oral Health .....	25
C. Children with Special Health Care Needs .....	25
D. Pregnant Women and Infants .....	25
E. Family Planning .....	26
F. WIC .....	26
Effective Date .....	26
Modifications .....	26
<b>Attachment 4.17A Medical Review Process: Discharged to Return Home .....</b>	<b>28</b>
(a) Utilization Control Agent (UCA) .....	28
1. Part II of the DHMH Form 4245 .....	28
2. Request for medical eligibility .....	28
3. Appeals to the UCA decision .....	28
(b) Definitions: .....	28
(c) A son or daughter - submission of evidence .....	29
Maryland Medicaid Assistance Program – Physician Report .....	30
Notice of Medical Review Decision- Home Property .....	31
Procedures for Appeal of UCA Decision .....	32
Explanation of a Lien .....	33
<b>Attachment 4.18-A Categorically Needy Charges .....</b>	<b>35</b>
A. Charges imposed on categorically needy for services other than provided under the Act .....	35
B. The method used to collect cost sharing charges for categorically needy individuals .....	36
C. The basis for determining whether an individual is unable to pay the charge .....	36
D. The procedures for implementing and enforcing the exclusions from cost sharing .....	37
E. Cumulative maximums on charges .....	37
<b>Attachment 4.18-C Medically Needy Charges .....</b>	<b>38</b>
A. The following charges are imposed on the medically needy for services .....	38
B. The method used to collect cost sharing charges for medically needy individuals .....	39
C. The basis for determining whether an individual is unable to pay the charge .....	39
D. The procedures for implementing and enforcing the exclusions from cost sharing .....	40
E. Cumulative maximums on charges .....	40
<b>Attachment 4.18-D Optionally Categorical .....</b>	<b>41</b>
A. Optionally categorical monthly premium - pregnant women and infants .....	41
B. Billing method for premium payment .....	41
C. State or Local funds used to pay premiums .....	42
D. Criteria to waive payment - undue hardship .....	42
<b>Attachment 4.18-E Optional Sliding Scale, Qualified Disabled and Working Individ. ....</b>	<b>43</b>
A. Method for determining a monthly premium .....	43
B. Billing method .....	43
C. State or Local funds used to pay premiums .....	44
D. Criteria to waive payment - undue hardship .....	44
<b>Attachment 4.18-F One or More Options for Imposing Cost Sharing .....</b>	<b>45</b>
A. Groups of individuals with family income above 100 percent but below 150 percent of the FPL .....	45
1(a) Cost sharing .....	45
(b) Limitations .....	45
(c) No cost sharing imposed for specified services .....	46

(d) Enforcement .....	46
2. Premiums .....	47
B. Groups of individuals with family income above 150 percent of the FPL .....	47
(1)(a) Cost sharing amounts .....	47
(b) Limitations .....	48
(c) No cost sharing imposed for specified services .....	48
(d) Enforcement .....	49
2. Premiums .....	49
(a) Premiums are imposed .....	49
(b) Limitation .....	50
(c) No premiums shall be imposed for the following individuals .....	50
(d) Enforcement .....	50
C. Period of determining aggregate 5 percent cap .....	51
D. Method for tracking cost sharing amounts .....	51
<b>Attachment 4.19A Payment levels, access to care, equal to general public .....</b>	<b>52</b>
Reimbursement Limitations .....	52
Payment for Services: Methods and Standards for Establishing Payment Rates .....	53
I. Inpatient Hospital Services .....	53
A. All Payer Hospital Rate System .....	53
Uncompensated Care Methodology .....	53
B. Maryland State Operated Chronic / Psychiatric Hospitals .....	54
C. Acute General or Special Hospital .....	54
D. Private Psychiatric Hospitals .....	55
E. Private Freestanding Pediatric Rehabilitation Hospitals .....	55
F. Medically Monitored Intensive Inpatient Treatment Services .....	55
G. Residential treatment center - Ages 12 - 21 .....	56
H. Children's Residential Treatment Center .....	56
II. Disproportionate Share Payments .....	57
A. Deeming .....	57
B. Payments .....	57
C. Redistribution of an Overpayment .....	59
III. District of Columbia (D.C.) Hospitals .....	60
A. Inpatient .....	60
IV. Out of State Hospitals .....	62
A. Reimbursement .....	62
B. Inpatient Organ Transplant .....	62
C. Special Rehabilitation .....	62
D. Out of State Psychiatric Hospitals .....	63
SUP 1 to ATTACHMENT 4.19A .....	64
Payment Adjustment for Provider Preventable Conditions .....	64
Health Care-Acquired Conditions .....	64
Other Provider-Preventable Conditions .....	64
Present on Admission (POA) .....	65
Table 1: PPC Regression .....	66
<b>Attachment 4.19A&amp;B .....</b>	<b>68</b>
D. Out-of-State hospitals .....	68
SSA letter - 1977 .....	71
3-Year waiver to participate in the All-Payer Model: 1980-1983 .....	72
1983 Continuation of Medicare / Medicaid waiver - transition from demonstration to program .....	74
Terms and Conditions .....	76
Acceptance of terms and conditions .....	77
STEPS Case Management .....	79

Reimbursement Methodology - Early Intervention Services Case Management .....	80
Reimbursement Methodology - EPSDT diagnostic and treatment related services .....	80
Reimbursement Methodology - Service Coordination for Children with Disabilities .....	84
Reimbursement Methodology - Mental Health Rehabilitation Services Program .....	87
<b>Preface to Attachment 4.19B .....</b>	<b>89</b>
Reimbursement Limitations .....	90
Tobacco Cessation Counseling Services for Pregnant Women .....	
Payment Adjustment for Provider Preventable Conditions .....	91
1. <i>Wrong surgical or other Invasive Procedures</i> .....	91
2. <i>Limiations on Reductions</i> .....	91
<b>Attachment 4.19B Payment levels, access to care, equal to general public .....</b>	<b>92</b>
Provider Payment for Prenatal Risk Assessment and Prenatal Education .....	92
Tobacco Cessation Counseling Services for Pregnant Women .....	93
Doula Services .....	94
Home Visiting Services .....	96
Remote Patient Monitoring .....	98
Reimbursement Methodology for On-Site Environmental Lead Inspection .....	99
<i>Risk assessors accredited by Maryland Department of Environment</i> .....	100
Reimbursement for Preventative Services: Community Violence Prevention Services .....	101
Physician and Osteopath Rates .....	102
(5)(c) <i>State Trauma Registry - emergency room or inpatient services</i> .....	102
(5)(e)R <i>Psychiatric Evaluation to schools required by IEP or IFSP</i> .....	103
<i>Mobile Integrated Health</i> .....	103
(5)(f) <i>Payment Limitations</i> .....	103
Reimbursement Template -Physician Services - Amount of Minimum Payment .....	105
<i>Rates reflect all Medicare site of service</i> .....	105
<i>Rates are statewide</i> .....	105
<i>March 2013 Deloitte release</i> .....	105
<i>Method of Payment</i> .....	105
<i>E&amp;M and vaccine admin codes: Payable and Non-paid</i> .....	105
<i>Physician Services – Vaccine Administration</i> .....	106
<i>State Regional maximum administration fee set by the Vaccines for Children program</i> .....	106
<i>Documentation of Vaccine Administration Rates in Effect 7/1/09</i> .....	106
<i>Single rate</i> .....	106
<i>Separate administration fee for VFC vaccines</i> .....	106
<i>Effective Date of Payment</i> .....	107
<i>E&amp;M Services</i> .....	107
<i>Vaccine Administration</i> .....	107
<i>PRA Disclosure Statement</i> .....	107
<i>2009 SPA Codes that will NOT receive payment</i> .....	108
<i>Covered E&amp;M Codes</i> .....	108
<i>Maryland Vaccines for Children (VFC) Crosswalk</i> .....	109
<i>Reimbursement for Collaborative Care Model</i> .....	110
6. Advanced Practice Nursing Reimbursement .....	111
7. Physician Assistant Rates .....	113
8. Podiatrist Rates .....	115
9. Physical Therapist Rates .....	117
10. Dentist Rates .....	118
11. Optometrist Rates .....	119
4. Reimbursement Method for Mental Health Case Management (MHCM) .....	120
4. Reimbursement Method for MHCM: Care Coordination for Children .....	121



Mental Health Case Management .....	122
Reimbursement Methodology for HIV Targeted Case Management Services .....	123
Reimbursement Methodology for Behavioral Health Crisis Services .....	124
Community-Based Substance Use Disorder Services Reimbursement Methodology .....	125
1905(a)(29) Medication-Assisted Treatment (MAT) .....	126
12. Nutritionist Rates .....	127
13. Occupational Therapist Rates .....	128
14. Speech Therapist Rates .....	129
15. Audiologist Rates .....	130
16. Therapeutic Behavioral Aide Rates .....	131
17. EPSDT – Private Duty Nursing and Other Licensed Practitioners .....	132
19. Licensed Behavior Analysts .....	133
Pharmacist Prescriber Rates .....	134
18. Licensed Mental Health Practitioners .....	137
Home Health Agencies .....	139
Ambulatory Surgery Rates .....	140
Provider-Based Outpatient Oncology Facilities .....	142
Urgent Care Centers .....	143
2a. Outpatient hospital services .....	144
6. D.C. Outpatient .....	145
2.b. Rural Health Clinics .....	146
2.c. Federally qualified health center services .....	147
28. Freestanding Birth Centers: Reimbursement .....	150
A. Payment for Drugs .....	151
Disposable Medical Supplies and Durable Medical Equipment .....	153
<i>Hearing Aids</i> .....	154
<i>Oxygen and Related Respiratory Equipment</i>	
<i>Eyeglasses</i> .....	155
<i>Enteral Nutrition Products</i> .....	156
<i>Enteral and Parenteral Therapy Supplies</i> .....	157
3. Other Lab and X-ray Services: Laboratory Services .....	158
<i>X-ray Services</i> .....	159
Local Health Departments and General Clinics .....	160
Free Standing Dialysis Facility Services .....	161
Family Planning Clinics .....	162
Outpatient Mental Health Clinics .....	163
Prosthetic Devices .....	164
23. A. Transportation Services .....	165
1. <i>Emergency Service Transporters</i> .....	165
2. <i>Transportation under the Individuals with Disabilities Education Act (IDEA)</i> .....	165
3. <i>Emergency Service Transporter Supplemental Payment Program (ESPP)</i> .....	166
Reimbursement Methodology: Hospice Care .....	171
Reimbursement Methodology for Targeted Case Management (TCM) – DDA Waiting List .....	173
Reimbursement Methodology for TCM –Transitioning to the Community .....	175
Reimbursement Methodology for TCM –Community Coordination Services .....	177
Health Homes Provider Standards	
<i>Health Homes Service Delivery Systems</i>	
<i>Health Home Payment Methodology</i>	
<i>Agency Rates</i>	
<i>Rate development</i>	
<i>Assurances</i>	
1915(b)(4) Waivers Maryland Community First Choice, 1915(K) .....	179

I. State Plan Services .....	179
A. Personal Assistance Services .....	179
B. Nurse Monitoring .....	179
C. Consumer Training .....	179
D. Personal Emergency Response System .....	180
E. Supports Planning .....	180
F. Financial Management Service .....	180
II. Non-State Plan CFC Services .....	180
i. Home Delivered Meals .....	180
ii. Accessibility Adaptations .....	180
iii. Environmental Assessments .....	181
iv. Technology that substitutes for human assistance .....	181
III. Transition Services - State Plan Service .....	181
§1915(i) State plan HCBS .....	182
HCBS Community-Based Respite Care .....	182
Out of Home Respite Care .....	183
HCBS Psychosocial Rehabilitation .....	183
Intensive In-Home Services (IIHS) - EBP .....	185
Mobile Crisis Response Services .....	186
Expressive and Experimental Behavioral Services .....	186
Family Peer Support .....	187
Other Services .....	188
Customized Goods and Services .....	188
Community Personal Assistance Services - State Plan Option Reimbursement .....	189
SUP 1 to ATTACHMENT 4.19B .....	190
Payment of Medicare Part A and Part B Deductible/Coinsurance .....	190
General method for payment .....	190
QMBs .....	191
Other Medicaid Recipients .....	191
Dual Eligible (QMB Plus) .....	191
Item 1: Outpatient Psychiatric Services .....	192
Item 2: Part A Coinsurance Days .....	192
Item 3: Part B (QMB ONLY and QMB Plus) .....	192
Item 4: Ambulance and Wheelchair Van Services .....	194

# Table of Contents Attch. 4.19C to Attch. 4.44

<b>ATTACHMENT 4.19-C Reserving Beds, SNF and ICF</b> .....	<b>5</b>
A. Therapeutic Home Visits .....	5
B. Leave for Hospitalization .....	5
Capital-related payments - SNF / ICF .....	6
Hospitals Not Participating in the Medicare Experiment - Capital-related costs .....	7
Hospitals Participating in the Medicare Experiment - Capital-related costs .....	7
<b>ATTACHMENT 4.19-D SNF Rates</b> .....	<b>8</b>
COMAR - facility payment rates .....	8
Prospective Reimbursement Methodology .....	9
Administrative/Routine Costs .....	9
Other Patient Care Costs .....	10
Capital Costs .....	10
Nursing Services Costs .....	11
<i>Final Nursing Service rate</i> .....	11
Reimbursement of Allowable Ancillary Services .....	12
<i>Class A Support Surface</i> .....	12
<i>Class B Support Surface</i> .....	12
<i>Power wheelchairs</i> .....	12
<i>Bariatric beds and negative pressure wound therapy</i> .....	12
SNF Pay-for-Performance .....	19
(1) <i>Staffing levels and staff stability</i> .....	19
(2) <i>Family satisfaction</i> .....	19
<i>Scoring and Payment Distribution</i> .....	20
Intermediate Care Facilities for the Mentally Retarded - payment rates .....	21
Appendix A COMAR 10.09.10.10D .....	22
COMAR 10.09.10G .....	24
(5) Allowance for movable equipment .....	25
(7) Net Capital .....	25
(8) Debt information .....	25
COMAR 10.09.10.20 .20 Table of Indices - Administrative and Routine Costs .....	26
<i>Consumer Price Index for All Urban Consumers (CPI-U), Baltimore</i> .....	26
<i>Administrative supplies - CPI-U, Commodity and Service Group Index Nondurables, Baltimore</i> .....	26
<i>Management services - CPI-U, Special Index for Services, Baltimore</i> .....	26
<i>Contracted services - CPI-U, Nursing Homes and Adult Daycare</i> .....	27
<i>Other administrative expenses</i> .....	27
<i>Interest - Prime rate on short-term business loans</i> .....	27
<i>Salary, wages and employee benefits - CPI-U, Baltimore</i> .....	27
<i>Contracted services - Dietary, Laundry, Housekeeping</i> .....	27
<i>Routine supplies - CPI-U, household furnishing and operation component, Baltimore</i> .....	27
<i>Linen replacement</i> .....	27
<i>Other routine expenses</i> .....	27
<i>Supplies - Operation - CPI-U, maintenance and repairs</i> .....	28
<i>Repairs and maintenance</i> .....	28
<i>Contracted services</i> .....	28
<i>Minor equipment</i> .....	28
<i>Adjustments not related to specific routine accounts</i> .....	28
<i>Utilities - CPI-U, fuels and other utilities component, Baltimore</i> .....	28

COMAR 10.09.10.21 .21 Table of Indices - Other Patient Care Costs .....	29
<i>Salary, wages and employee benefits - CPI-U, physicians' services</i> .....	29
<i>Contracted services - Physicians</i> .....	29
<i>Salary, wages and employee benefits - CPI-U, Baltimore</i> .....	29
<i>Contracted services -All remaining other patient care</i> .....	29
<i>Supplies - CPI-U, nonprescription medical equipment and supplies</i> .....	29
<i>Raw food - CPI-U, food at home component, Baltimore</i> .....	29
COMAR 10.09.10.22 .22 Table of Indices - Capital Costs .....	30
<i>Real property tax</i> .....	30
<i>Property insurance</i> .....	30
<i>Land value</i> .....	30
<i>Building value</i> .....	30
<i>Equipment allowance</i> .....	30
<i>Depreciation</i> .....	30
<i>Leases</i> .....	30
<i>Rentals</i> .....	30
<i>Amortization of leasehold improvements</i> .....	30
<i>Adjustments not related to specific accounts</i> .....	30
COMAR 10.09.10.23 .23 Table of Indices - Nursing Service Costs .....	31
<i>Salary &amp; benefits for Nurses, LPNs, Nurse Aides, Certified Med Techs</i> .....	31
<i>Supplies</i> .....	31
<i>Contracted services</i> .....	31
<i>Other</i> .....	31
<i>Adjustments not related to specific accounts</i> .....	31
COMAR 10.09.10.24 .24 Reimbursement Classes .....	32
<i>A. Administrative and Routine cost centers</i> .....	32
(1) <i>Baltimore metropolitan region</i> .....	32
(2) <i>Baltimore City</i> .....	32
(3) <i>Washington region</i> .....	32
(4) <i>Nonmetropolitan region</i> .....	32
<i>B. Classes for Other Patient Care cost centers</i> .....	33
<i>C. Nursing Service cost centers</i> .....	33
(1) <i>Baltimore region</i> .....	33
(2) <i>Central Maryland</i> .....	33
(3) <i>Washington region</i> .....	33
(4) <i>Nonmetropolitan region</i> .....	33
(5) <i>Western Maryland</i> .....	33
<b>ATTACHMENT 4.19-E Timely Claims Payment</b> .....	<b>34</b>
Definition of Claim .....	34
UB-04 Form .....	34
CMS-1500 .....	34
<b>ATTACHMENT 4.22-A</b> .....	<b>35</b>
1. Data exchanges, diagnosis and trauma code edits, 433.138 .....	35
A. <i>Health Insurance Information</i> .....	35
<i>Applications for Supplemental Security Income</i> .....	35
<i>Automated data match with Blue Cross and Blue Shield</i> .....	36
<i>MA recipient file with Blue Cross and Blue Shield enrollee files</i> .....	36
B. <i>SWICA and SSA Wage and Earnings files</i> .....	36
C. <i>State IV-A Agency</i> .....	36
D. <i>State Worker's Compensation Commission</i> .....	36
E. <i>State Motor Vehicle Accident Report Files</i> .....	36
F. <i>Diagnosis and Trauma Code Edits</i> .....	37

2. Methods used for follow up requirements of 433.138 .....	37
A. Validation Process .....	37
B. Incorporation of validated information .....	38
1. Blue Cross and Blue Shield of Maryland .....	38
2. Other carriers .....	38
C Timeframe for incorporation .....	38
D. System for tracking timeliness of follow-up .....	38
SWICA and SSA Wage and Earnings files .....	39
A. Identification - Third party liability .....	39
B. Incorporation of validated information .....	39
C. Timeframe for incorporation .....	40
D. System for tracking timeliness of follow-up .....	40
State IV - A Agency .....	41
A. Identification - Third party liability .....	41
B. Incorporation of validated information .....	41
C. Timeframe for incorporation .....	42
D. System for tracking timeliness of follow-up .....	42
State Workers Compensation Commission .....	43
A. Identification .....	43
B. Incorporation of validated information .....	43
C. Timeframe for incorporation .....	43
D. System for tracking timeliness of follow-up .....	43
3. Method for State Motor Vehicle Accident data exchange, 433.138 .....	44
4. Methods: follow up on paid claims ID under 433.138(e) .....	45
A. Identification - MMIS .....	46
B. Incorporation of validated information .....	46
C. Timeframe for incorporation .....	46
D. System for tracking timeliness of follow-up .....	46
<b>ATTACHMENT 4.22-B Requirements for Third Party Liability - Payment of Claims .....</b>	<b>48</b>
<b>ATTACHMENT 4.22-C Cost Effectiveness of Employer-Based Group Health Plans .....</b>	<b>50</b>
<b>ATTACHMENT 4.30 Sanctions for Psychiatric Hospitals .....</b>	<b>51</b>
<b>ATTACHMENT 4.32 Income and Eligibility Verification System .....</b>	<b>52</b>
PARIS match .....	53
<b>ATTACHMENT 4.33-A Medicaid Eligibility Cards - Homeless Individuals .....</b>	<b>54</b>
<b>ATTACHMENT 4.34-A Requirements for Advance Directives Under State Plan .....</b>	<b>55</b>
1. Living Will .....	55
2. Durable Power of Attorney for Health Care .....	55
3. Discussion with Physician .....	55
<b>ATTACHMENT 4.35-A Eligibility Conditions and Requirements - Enforcement of Compliance for SNFs .....</b>	<b>56</b>
<b>ATTACHMENT 4.35-B Enforcement Compliance for SNFS - Termination of Provider Agreement .....</b>	<b>57</b>
<b>ATTACHMENT 4.35-C Enforcement Compliance for SNFS – Temporary Management .....</b>	<b>58</b>
<b>ATTACHMENT 4.35-D Enforcement Compliance for SNFs - Denial of Payment for New Admissions .....</b>	<b>59</b>
<b>ATTACHMENT 4.35-E Enforcement Compliance for SNFs - Civil Monetary Penalty .....</b>	<b>60</b>
<b>ATTACHMENT 4.35-F Enforcement Compliance for SNFs - State Monitoring .....</b>	<b>61</b>

<b>ATTACHMENT 4.35-G Enforcement Compliance for SNFs - Transfer of Residents / Transfer and Closure .....</b>	<b>62</b>
<b>ATTACHMENT 4.35-H Enforcement Compliance for SNFs - Additional Remedies .....</b>	<b>63</b>
<b>ATTACHMENT 4.42-A Enforcement of False Claims Recovery Act .....</b>	<b>64</b>
<b>ATTACHMENT 4.43 Cooperation with Medicaid Integrity Program Efforts .....</b>	<b>65</b>
<b>ATTACHMENT 4.44 Prohibition on Payments to Entities Located Outside of the US .....</b>	<b>66</b>

# Table of Contents

<b>Section 5 - Personnel Administration</b> .....	<b>4</b>
5.1 Standards of Personnel Administration .....	4
a) <i>Conformity with Federal standards</i> .....	4
b) <i>Affirmative Action Plan</i> .....	4
5.3 Training Programs: Subprofessional and Volunteer Program .....	6
<b>Section 6 - Financial Administration</b> .....	<b>7</b>
6.1 Fiscal Policies and Accountability .....	7
6.2 Cost Allocation .....	8
6.3 State Financial Participation .....	9
a) <i>State funds are used in both assistance and administration</i> .....	9
<i>Local Participation</i> .....	9
b) <i>State and Federal funds apportionment</i> .....	9
<b>Section 7 - General Provisions</b> .....	<b>10</b>
7.1 Plan Amendments .....	10
7.2 Nondiscrimination .....	11
7.4 State Governor's Review .....	12
<b>Attachment 7.2A</b> .....	<b>13</b>
Policy on Equal Employment Opportunity (EEO) .....	14
I. <i>Executive Summary</i> .....	14
II. <i>Background</i> .....	14
III. <i>Policy Statements</i> .....	15
IV. <i>References</i> .....	17
Service Nondiscrimination Policy .....	18
I. <i>Executive Summary</i> .....	18
II. <i>Background</i> .....	18
III. <i>Policy Statements</i> .....	18
IV. <i>References</i> .....	20
<b>Attachment 7.4B - Temporary Extension to Disaster Relief Policies 22-0019A</b> .....	<b>22</b>
Page 2 - Temporary Extension to the Disaster Relief Policies for the COVID-19 National Emergency .....	23
<b>Attachment 7.7-A Vaccine and Vaccine Administration at Section 1905(a)(4)(E)</b> .....	<b>24</b>
<b>Attachment 7.7-B COVID-19 Testing at section 1905(a)(4)(F)</b> .....	<b>27</b>
<b>Attachment 7.7-C COVID-19 Treatment at section 1905(a)(4)(F)</b> .....	<b>30</b>