Appendix K and Executive Orders Flexibilities: updated December 17, 2021

Appendix K may be used by states during emergency situations to request amendments to approved Home and Community Based waivers. The tables below categorize the Appendix K flexibilities based on their termination date, where applicable, and by authority source. Flexibilities noted with an (*) will have some service-level exceptions through DDA's Waiver Amendment #3 or via recently revised DDA processes post Appendix K termination. These exceptions are detailed in the No Termination/Retained Permanently table.

*All text in red indicates added/revised language since the prior release date

TERMINATION: 8/15/21 (end of the MD State of Emergency)

Authority: Appendix K

Nursing training received from the DDA

Hiring of spouses and parents of minor children*

TERMINATION: 8/15/2021 (end of Governor's Health Care Matters Executive Order)

Authority: Appendix K

Exception to Maryland professional licenses (temporarily waiving certain licensure requirements)

Rescind/Suspend to Unwind: 8/15/21 (COVID exposure/outbreak flexibilities)*

Authority: Appendix K

Self-direction: Personal Protective Equipment (PPE) reimbursement

Alternative service sites

Out-of-state setting

TERMINATION: 10/14/2021 (60 days after the end of the MD State of Emergency)

Authority: Appendix K

Site capacity (exceed maximum)

Termination: 12/31/2021

Authority: Appendix K/Emergency Regulations

Meaningful day, personal supports and residential retainer payments*

	Termination: 3/31/2022
Authority: Appendix K/Emergency Regulations	
added 12/17/21:	Telephonic/Remote services: Behavioral Support Services (BSS)*
added 12/17/21:	Telephonic/Remote services: Case Management (CCS)
added 12/17/21:	Telephonic/Remote services: Nursing Services
added 12/17/21:	Staffing ratios (exceed maximum)
added 12/17/21:	Staffing ratios 1:1 and 2:1 exceptions
added 12/17/21:	Abbreviated criminal background check
added 12/17/21:	Training requirements: waive all but essential training
added 12/17/21:	Training requirements: PORII training provided in an expedited format
added 12/17/21:	Training requirements: sharing staff among providers
added 12/17/21:	Services provided any day of the week and exceed 8 hours a day and 40 hours per
week within pers	on's authorized budget
	Suspension of minimum number of hours of services to be provided during a single
day	Employment/Supported Employment/CDS/Day Hab/BSS/Family and Peer
	onal Supports/Respite can take place in a variety of settings, instead of the
	iding but not limited to the participant's home; family and friend's homes; residential
	community settings.
	Waiver of high school or GED requirement*
	Behavioral Support Services – no pre-authorization required during PHE*
	Nursing Services – no pre-authorization required during PHE*
	Respite Services – no pre-authorization required during PHE*
	Legal guardians and relatives may be paid for greater than 40-hours per week*
	Exceed 82 hours per week within the authorized budget for personal supports*
	Exceed current DDA authorization within person's overall authorized funding
budget for perso	
	Individuals 16 years or older can be direct support*
added 12/17/21:	Hire relatives and legally responsible individuals*
added 12/17/21:	Acute care hospital & short-term institutional stay supports*
added 12/17/21:	Personal care assistance can comprise the entirety of the following services:
employment, sup	pported employment, CDS, personal supports and day habilitation
added 12/17/21:	Training requirements: waived for family members willing to provide services to
participants	
added 12/17/21:	Increased rate (isolation)
added 12/17/21:	Training requirements: Annual training requirement extension for DSP who
have previously o	completed all training requirements
added 12/17/21:	Training requirements: CPR and First Aid
added 12/17/21:	Training requirements: MTTP/Medication Technician Training
added 12/17/21:	Training requirements: Training in MANDT

No Termination/ Retained Permanently

Authority: Waiver Amendment #3 and/or Senate Bill #3 and Regulations

Telephonic/Remote Services: Community Development

Telephonic/Remote Services: Day Habilitation

Telephonic/Remote Services: Employment Services (includes Employment Discovery/Customization)

Telephonic/Remote Services: Personal Supports

Telephonic/Remote Services: Supported Employment

Telephonic/Remote Services: Behavioral Support Services (BSS) with the exception of brief support

implementation services that must be provided onsite/in person

Acute care hospital stay supports

Waiver of high school or GED requirement: respite and day hab

Behavioral Support Services – services can be requested via emergency revised PCP process

Nursing services – services can be requested via emergency revised PCP process

Respite services – services can be requested via emergency revised PCP process

Legal guardians and relatives may be paid for greater than 40-hours per week with approval from the DDA

Exceed 82 hours per week within the authorized budget for personal supports with preauthorization from the DDA

Exceed current DDA authorization within person's overall authorized funding budget for personal supports with authorization from the DDA using budget modification or revised PCP/budget sheet to move funding

Individuals 16 years or older can be direct support: respite

Hire relatives and legally responsible individuals for select services and/or in situations of extraordinary care

Retainer payment for residential services only

Residential day time shared service hours -- use of dedicated supports